



[11129/2]

#8/1
(NE)
11/9/03

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH

Serial No. : 09/447,319

Filed : November 22, 1999

For : SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT

Examiner : Hani M. Kazimi

Group Art Unit : 3624 I hereby certify that this correspondence is being deposited with the
United States Postal Service with sufficient postage as first class mail
in an envelope addressed to:

Mail Stop AF
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on

Date: 10/30/2003

Signature:

AARON C. DEDITCH
(33,865)

AMENDMENT AFTER A FINAL OFFICE ACTION

SIR:

In response to the Final Office Action mailed on July 31, 2003 (the three-month response date for which is October 31, 2003), please reconsider the above-identified application based on the following:

OK
Final
H-IV

Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

Duplicate
of
Amendment C



AF/3624

[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH

Serial No. : 09/447,319

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For : **SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT****RECEIVED**

NOV 07 2003

GROUP 3600

Examiner : Hani M. Kazibaby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

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Alexandria, VA 22313-1450Mail Stop AF
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
onDate: 10/30/2003

Signature:

AARON C. DEDITCH
(33,865)TRANSMITTAL

SIR:

Transmitted herewith for filing in the above-identified patent application is an Amendment After A Final Office Action.

While no fee is believed to be due, the Commissioner is authorized, as appropriate and/or necessary, to charge any fees (including any Rule 136(a) extension fees) or credit any overpayment to Kenyon & Kenyon Deposit Account No. **11-0600**. One duplicate copy of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Dated: 10/30/2003

By:

Aaron C. Deditch
(Reg. No. 33,865)

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